

RESEARCH ARTICLE

Open Access



# Development and validation of method for defining conditions using Chinese electronic medical record

Yuan Xu<sup>1,2</sup>, Ning Li<sup>1\*</sup>, Mingshan Lu<sup>2,3</sup>, Robert P. Myers<sup>2,4</sup>, Elijah Dixon<sup>2,5</sup>, Robin Walker<sup>2</sup>, Libo Sun<sup>1</sup>, Xiaofei Zhao<sup>1</sup> and Hude Quan<sup>2</sup>

## Abstract

**Background:** The adoption of the electronic medical record (EMR) is rapidly growing in China. Constantly evolving, Chinese EMRs contain vast amounts of clinical and financial data, providing tremendous potential for research and policy use; however, they are only partially standardized and contain free text or unstructured data. To utilize the information contained in Chinese EMRs, the development of data extraction methodology is urgently needed. The purpose of this study is to develop and validate methods to extract clinical information from the Chinese EMR for research use.

**Methods:** Using 2010 to 2014 EMR data from YouAn Hospital, a large teaching hospital affiliated with Capital Medical University in Beijing, China, we developed extraction methods including 40 EMR definitions for defining 6 liver disease, 5 disease severity conditions, and 29 comorbidities and treatments. We conducted a chart review of 450 randomly selected EMRs. Using physician chart review results as a reference, sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) were calculated to validate each EMR definition.

**Results:** The sensitivity of the 6 EMR definitions for liver diseases ranged from 78.9 to 100.0 %, and PPV ranged from 82.1 to 100.0 %. The sensitivity of the 5 definitions on disease severity conditions ranged from 91.0 to 100.0 %, and PPV ranged from 79.2 to 100.0 %. Among the 29 EMR definitions for comorbidities and treatments, 23 had sensitivity over 90.0 % and 25 had PPV over 80.0 %. The specificity and NPV for all 40 EMR definitions were over 90.0 %.

**Conclusion:** The extraction method developed is a valid way of extracting information on liver diseases, comorbidities and related treatments from YouAn hospital EMRs. Our method should be modified for application to other Chinese EMR systems, following our framework for extracting conditions.

**Keywords:** Extraction method, Validation, Case definition, Electronic medical record, Liver disease

## Background

Epidemiological and clinical outcome studies of liver diseases require large databases and rich case mix information to incorporate severity assessment and risk adjustment in order to generate robust results [1]. Many researchers in China are currently seeking to explore liver disease using clinical data, given that China has a high prevalence of liver diseases. Chronic hepatitis is the

major cause of primary liver cancer (PLC) in the Chinese population. In China, the prevalence of hepatitis B virus infection is 7.2 %, and an estimated 20 million people suffer from chronic hepatitis B [2, 3]. The high prevalence of chronic hepatitis has resulted in a high incidence of PLC: an estimated 360,000 new cases of PLC occur in China each year [4].

The implementation of the electronic medical record (EMR) in China has been rapidly growing since 2006 [5]. Developing the EMR has been identified as a primary focus for China's new and on-going health care reforms [6]. Currently, most hospitals in China use EMR systems.

\* Correspondence: liningya@ccmu.edu.cn

<sup>1</sup>Beijing YouAn Hospital, Capital Medical University, 8 Xitoutiao Fengtai, Beijing 100069, China

Full list of author information is available at the end of the article



The coverage, functionality, and interoperability of the EMR will continue to be greatly improved as these developments take place. In addition to the advantages of the EMR including large geographical coverage and easy access, they also hold tremendous potential to provide rich and timely longitudinal clinical data for research [5, 7]. Researchers worldwide have recognized the potential value of EMRs and tremendous efforts are underway to advance research in EMR data science [8–10].

The utility of Chinese EMRs for research, however, must take into account the specific features of the EMR systems. Similarly with other countries, EMR systems in China contain longitudinal and comprehensive documentation of clinical care and management of patients' hospitalizations. Information on diagnoses, laboratory tests, radiology examinations, hospital costs, procedure reports, and prescriptions is recorded. EMR data is inputted by physicians and regularly audited by hospital medical record departments, as the quality of the EMR is part of the physicians' monthly performance evaluations. In spite of national standards to improve interoperability, however, EMR systems in China are only partially standardized [5–7]. This is because each hospital in China chooses its own vendor to develop an EMR system, with different vendors having developed different systems. These EMR systems incorporate many free-text fields with unstructured data; structured drop-down lists are limited to the documentation of demographics, physical examinations, and drug prescriptions. Additionally, the standardized coding system, such as the International Classification of Diseases (ICD), is not used to document clinical conditions in Chinese EMRs [5–7].

In recent years, methodologies that extract information from EMRs have been improved. One outstanding example is the electronic phenotyping algorithms that were developed by the electronic medical records and genomics (eMERGE) network [11, 12]. These algorithms require the ICD codes and Current Procedural Terminology (CPT) to be present in EMR data [11, 13]. However, in addition to language differences (*i.e.*, English versus Chinese), the lack of standardized coding systems (*e.g.*, ICD) in Chinese EMRs makes existing extraction methods, such as the eMERGE phenotyping algorithms, inapplicable to Chinese EMRs. Another barrier for using Chinese EMRs to define disease conditions is the lack of validated tools for dealing with unstructured free-text. Natural Language Processing (NLP) is a sophisticated tool that is used to convert unstructured free-text into structured data. However, well-established and widely validated NLP techniques for dealing with Chinese EMRs are not currently available. Studies of NLP for Chinese EMRs are limited, with most having been developed for one or several specific sections or fields of the

EMR [14–16]. Additionally, clinical expertise is needed to define conditions (such as liver diseases, symptoms and comorbidities) according to practice pathways because the EMRs in China document longitudinal clinical information on patients, but do not document diagnoses in a standardized or systematic way. For example, a patient's illness history, biomarker level, and imaging reports represent some of the information that is captured in EMRs and can be combined to define PLC.

In order to leverage the use of existing Chinese EMRs, there is a need to develop new data extraction methods that incorporate clinical expertise and make use of free-text and non-standardized coded data to accurately define conditions. In this study, we aimed to develop and validate extraction methods to define disease conditions in Chinese EMRs, specifically focusing on liver diseases, which are widely used in liver disease outcome and risk adjustment studies. Our methodology also provides a framework for constructing and defining other conditions in Chinese EMRs.

## Methods

### Data source

The EMR data was collected and extracted from the EMR system at YouAn hospital, which is affiliated with Capital Medical University in Beijing, China. YouAn is one of the leading teaching hospitals in China. It specializes in delivering care to patients with liver diseases, and treats over 300,000 patients annually from all regions of China. The EMR system in use at YouAn hospital was developed using Structured Query Language (SQL), a method that is widely used across other Chinese EMR systems. For each patient, the EMR contains several sections, including a front page (completed after discharge) that summarizes the hospitalization, as well as an admission record, discharge record, surgery/procedure record, death record, laboratory test results, radiology test results, pathology reports, physician notes, hospitalization cost records, and electronic drug prescriptions (see Fig. 1, which illustrates the main structure of this EMR). The sections for laboratory test results, electronic prescriptions, and hospitalization cost records are entirely structured, without any free text. However, the front page, admission record, discharge record, and radiology test results are only semi-structured and contain both structured drop-down lists and free-text fields. YouAn assigns a unique identification number to each patient, which allows for the linkage of multiple EMR sections.

For this study, we included patients who were admitted to YouAn hospital between January 1, 2010 and August 15, 2014. This resulted in a sample of 85,524 EMRs. All the included patients consented to having their EMRs used for scientific research, as the consent form was integrated directly into the EMR. It is

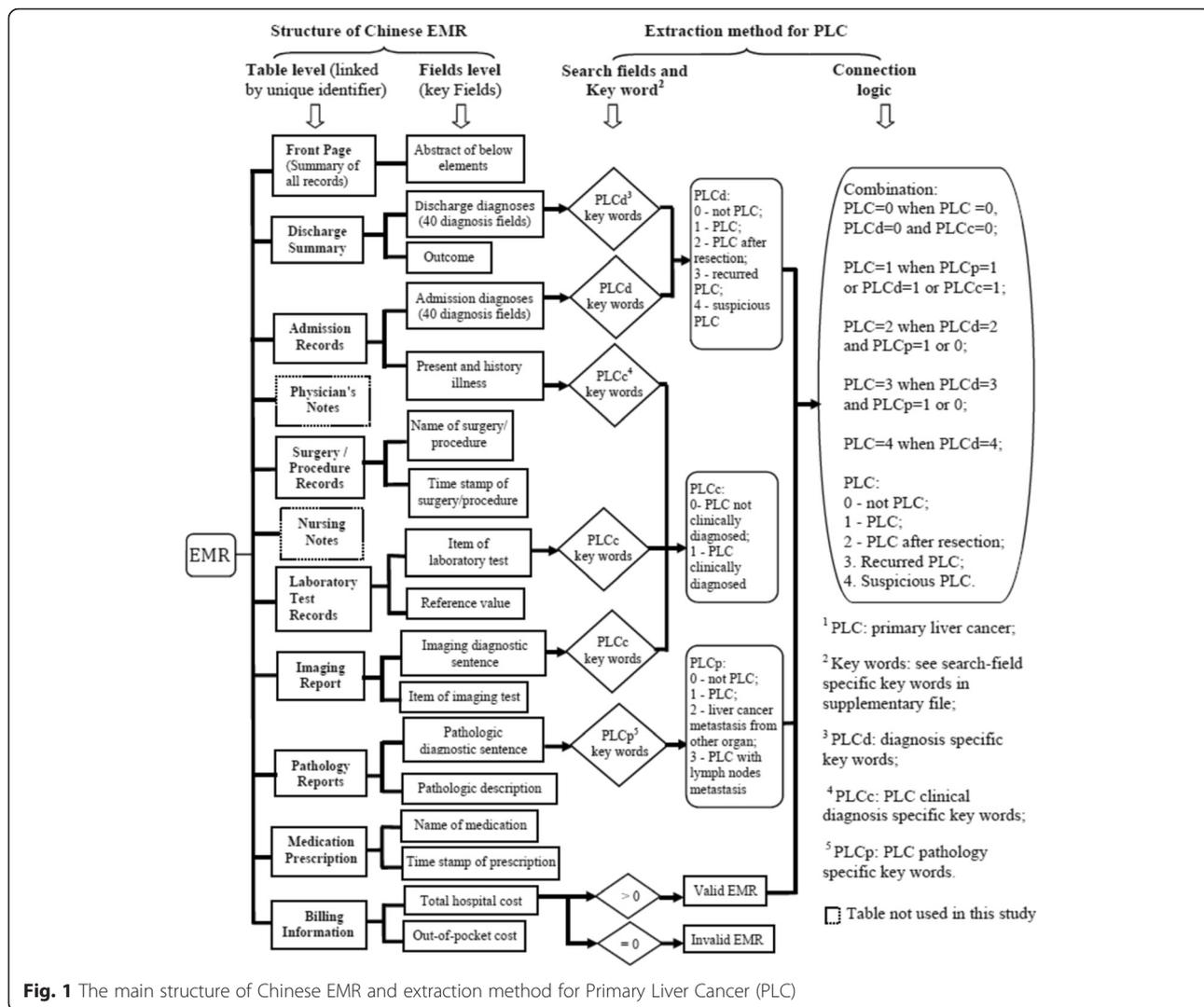


Fig. 1 The main structure of Chinese EMR and extraction method for Primary Liver Cancer (PLC)

estimated that over 95 % of patients admitted to YouAn hospital have agreed and signed this consent form.

**EMR extraction method**

We developed 40 EMR definitions for 6 liver diseases, 5 disease severity conditions and 29 comorbidities and treatments (Additional file 1: Table S1, which shows a list of EMR definitions for liver diseases, disease severity conditions, comorbidities and treatments developed in this study). We queried the EMR data using the SQL system. There were three steps in the development of each EMR definition. First, we developed the keywords that were corresponding to different free-text entries of the EMR. Keywords included Chinese words and punctuation, and were combined using "AND"/"OR" operators. Because there are various ways of indicating a clinical condition in free text in Chinese, we attempted to include all potential disease descriptions and specific terms in a group of search terms that are specific to each

condition based on our knowledge of physician documentation style for a specific disease and the guidelines or rules for documenting clinical information in a particular EMR section. Second, we selected corresponding sections and fields in the EMR for searching the keywords, such as the 40 diagnosis fields in the admission record, conclusive sentences in pathology or radiology reports, and laboratory results. We determined the areas of focus by considering the disease diagnostic pathway, as well as the availability, completeness, and temporality of information in the EMR. The third step consisted of logically combining the search results from selected fields. Our logical combination embedded the inclusion and exclusion criteria in light of clinical expertise. We defined the liver diseases using national and international practice guidelines [17–21]. An example of our extraction method for PLC is illustrated in Fig. 1. Finally, we tested the EMR definitions on a 10 % sample of the reviewed EMRs (45 EMRs) to include comprehensive

lists of the keywords and detect and fix errors, such as inadequate inclusion of search fields, misspelling, wrong search sections, and errors in SQL syntax and clinic logics. Through an iterative process of testing and revising, we finalized the 40 EMR definition algorithms (available at: <http://themethodshub/toolbox/downloads>).

In our method, the common liver diseases extracted from the EMR included: PLC, hepatitis B, hepatitis C, fatty liver, alcoholic liver diseases and cirrhosis. In addition, the following disease severity conditions were also extracted: hepatic encephalopathy, spontaneous bacterial peritonitis, variceal hemorrhage, hepatorenal syndrome, and ascites. Along with other laboratory test results (*i.e.*, prothrombin time and serum level of albumin, creatinine, sodium and total bilirubin), these variables can be used to calculate a Child-Pugh score [22], model for end-stage liver disease (MELD) index [23], MELD-Sodium index (MELD-Na) [24] and five-variable MELD (5vMELD) index [24]. These indexes are widely used to assess the severity of liver disease in clinical settings. For the purpose of risk adjustment, we extracted the comorbidities included in the Charlson Comorbidity Index (CCI) [25] and Elixhauser Comorbidity Index (ECI) [26], which are commonly used risk adjusters. We extracted treatment or procedure measures, which are highly recommended for consideration in outcome and risk adjustment studies [27].

#### EMR review

Using patients' unique identification number, we randomly selected 450 patients and extracted their EMRs (450 EMRs) from the entire 85,524 EMRs. To validate the EMR definitions, two hepatologists who were blinded to the EMR definitions reviewed the EMRs. For training purposes, each physician first reviewed the same 20 EMRs independently. Study team members ensured the accuracy and consistency of the EMR review process by comparing physician review results. Inconsistencies or uncertainties in decisions were brought to the team for discussion. Each physician then reviewed 250 full EMRs, of which 50 EMRs were independently reviewed by both reviewers to assess the inter-rater agreement. *Kappa*-statistic (*K*-statistic) was calculated to assess inter-rater agreement between physician reviewers. Of the 40 EMR definitions, we were able to calculate a *k* statistic for 32 definitions. Of the 32 definitions, 30 definitions had a *k*-statistic between 0.4 and 1.0, and 2 definitions were between 0.2 and 0.4. It was not possible to calculate a *k*-statistic for the remaining 8 definitions, given that there were "0" cells in the contingency tables due to the low prevalence of some conditions. In this case, the observed agreement was used to evaluate the agreement (over 85.0 %).

#### Statistical analysis

Descriptive statistics were calculated for demographic characteristics, liver diseases, disease severity conditions, and comorbidities. Sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) and its 95 % confidence intervals of the EMR definitions were assessed based on the reference of EMR review results.

We tried several different EMR definitions for each individual disease, symptom or treatment. Three types of EMR definitions were constructed: 1) definition based on diagnosis information (admission and discharge) only; 2) definition based on supporting clinical evidence only, such as the information from the laboratory test results, imaging results, pathologic reports, illness history, procedure records and prescription; and, 3) definition based on both diagnosis and supporting clinical evidence. For each disease, symptom or treatment, after comparing the validity of different EMR definitions, the one with highest validity was chosen.

All statistical analyses were performed using SAS v. 9.4 (SAS Institute, Cary, NC) and Stata v. 12.0 (Stata-Corp, College Station, TX).

#### Results

Among the 450 randomly selected patients whose records were reviewed for validation, 234 (52.0 %) were male and 328 (72.9 %) were between 18 and 64 years old (Table 1). The prevalence of liver diseases in the sample was 21.3 % for PLC, 32.7 % for cirrhosis, 45.1 % for hepatitis B, 8.9 % for hepatitis C, 7.1 % for alcoholic liver disease, and 4.0 % for fatty liver. Of the sample, 68.9 % had at least one of the comorbidities included in CCI and 71.8 % had at least one of the comorbidities included in ECI.

We validated 40 EMR definitions, which were classified into three groups: 6 definitions for liver diseases, 5 definitions for severity of liver disease, and 29 definitions for comorbidities and treatments. The validity of 11 EMR definitions for liver diseases and disease severity conditions is shown in Table 2. For the 6 definitions for liver diseases (*i.e.*, PLC, hepatitis B, hepatitis C, cirrhosis, fatty liver, and alcoholic liver diseases), the sensitivity ranged from 78.9 to 100.0 %, and PPV ranged from 82.1 to 100.0 %. The sensitivity of 5 definitions for the severity of liver disease (*i.e.*, HE, ascites, SBP, VH and HR) ranged from 91.0 to 100.0 % (see Table 2). The specificity and NPV for all 40 EMR definitions were over 90.0 %.

The summary of the validity for the additional 29 EMR definitions is shown in Table 3 (for detailed validity results of these 29 EMR definitions for comorbidities and treatments see Additional file 1: Table S2). The sensitivity of the 29 EMR definitions ranged from 64.7 to

**Table 1** Patient's Characteristics (N = 450)

Characteristic	Percent, % (n)
Male	52.0 (234)
Age (year)	
<18	17.6 (79)
18-64	72.9 (328)
>64	9.6 (43)
Primary liver cancer	21.3 (96)
Cirrhosis	32.7 (147)
Hepatitis B	45.1 (203)
Hepatitis C	8.9 (40)
Fatty liver	4.0 (18)
Alcoholic liver disease	7.1 (32)
Spontaneous bacterial peritonitis	14.9 (67)
Variceal hemorrhage	4.4 (20)
Hepatorenal syndrome	1.1 (5)
Hepatic encephalopathy	8.9 (40)
Ascites	27.6 (124)
Number of Charlson comorbidities	
0	31.1 (140)
1	33.3 (150)
2	22.7 (102)
3 and more	12.9 (58)
Number of Elixhauser comorbidities	
0	28.2 (127)
1	24.4 (110)
2	15.1 (68)
3 and more	32.2 (145)

**Table 3** Number and percent of EMR<sup>a</sup> definitions for comorbidities or treatments by validity range out of 29 EMR definitions

Range	Sensitivity	Specificity	PPV <sup>b</sup>	NPV <sup>c</sup>
60.0 % - 69.9 %	3 (10.3 %)	0	2 (6.9 %)	0
70.0 % - 79.9 %	3 (10.3 %)	0	2 (6.9 %)	0
80.0 % - 89.9 %	0	0	5 (17.2 %)	0
≥90.0 %	23 (79.3 %)	29 (100 %)	20 (69.0 %)	29 (100 %)

<sup>a</sup>EMR electronic medical record; <sup>b</sup>PPV positive predictive value; <sup>c</sup>NPV negative predictive value

100.0 %; 23 definitions had sensitivity over 90 %. The PPV of the 29 EMR definitions ranged from 64.1 to 100 %; 25 definitions had a PPV of over 80.0 %.

### Discussion

The current rapid development of EMRs in China presents great potential for health researchers and policy makers. However, significant challenges remain on how to make use of the information present in Chinese EMRs, as their special features make the application of existing data extraction methods infeasible. In this study, we developed an EMR data extraction method including 40 EMR definitions for liver diseases, liver disease severity conditions, comorbidities and treatments using Chinese EMRs. The validity of the EMR definitions developed in this study was overall shown to be high, with some variations across definitions. Most of the EMR definitions had over 80.0 % sensitivity (33 out of 40 variables), 90.0 % specificity (all 40 variables), 80.0 % PPV (35 out of 40 variables), and 90.0 % NPV (all 40 variables).

**Table 2** Validity of EMR<sup>a</sup> definitions for liver disease

Variable	Sensitivity % (95 % CI) <sup>b</sup>	Specificity % (95 % CI)	PPV <sup>c</sup> % (95 % CI)	NPV <sup>d</sup> % (95 % CI)
Liver disease				
Primary liver cancer	100.0 (96.2, 100.0)	98.9 (97.1, 99.7)	96.0 (90.1, 98.9)	100.0 (99.0, 100.0)
Hepatitis B	88.2 (82.9, 92.3)	98.8 (96.5, 99.8)	98.4 (95.3, 99.7)	91.0 (87.0, 94.2)
Hepatitis C	82.5 (67.2, 92.7)	99.5 (98.3, 99.9)	94.3 (80.8, 99.3)	98.3 (96.6, 99.3)
Fatty liver	100.0 (81.5, 100.0)	100.0 (99.2, 100.0)	100.0 (81.5, 100.0)	100.0 (99.2, 100.0)
Alcoholic liver disease	100.0 (89.1, 100.0)	98.3 (96.6, 99.3)	82.1 (66.5, 92.5)	100.0 (99.1, 100.0)
Cirrhosis	78.9 (71.4, 85.2)	98.4 (96.2, 99.5)	95.9 (90.6, 98.6)	90.6 (86.9, 93.5)
Liver disease severity				
Spontaneous bacterial peritonitis	91.0 (81.5, 96.6)	99.7 (98.6, 100.0)	98.4 (91.3, 100.0)	98.5 (96.7, 99.4)
Variceal hemorrhage	95.0 (75.1, 99.9)	98.8 (97.3, 99.6)	79.2 (57.9, 92.9)	99.8 (98.7, 100.0)
Hepatorenal syndrome	100.0 (47.8, 100.0)	100.0 (99.2, 100.0)	100.0 (47.8, 100.0)	100.0 (99.2, 100.0)
Hepatic encephalopathy	100.0 (91.2, 100.0)	100.0 (99.1, 100.0)	100.0 (91.2, 100.0)	100.0 (99.1, 100.0)
Ascites	95.2 (89.8, 98.2)	99.1 (97.3, 99.8)	97.5 (92.9, 99.5)	98.2 (96.1, 99.3)

<sup>a</sup>EMR electronic medical records; <sup>b</sup>CI confidence interval; <sup>c</sup>PPV positive predictive value; <sup>d</sup>NPV negative predictive value

### Validity of the EMR definitions and comparison with other studies

We did not find any other studies that used methods comparable to ours, however to assess the level of validity in our study, we referenced several relevant previous studies. Compared with the case definition of hepatocellular carcinoma (HCC) using pathologic reports and administrative data in the United States [8], our EMR definition for PLC (mainly consists of HCC) had a slightly higher validity with a sensitivity of 100.0 % versus 96.0 %, and a specificity of 98.9 % versus 97.0 %. One study that validated ICD administrative data at the University of Pennsylvania Health System [28] found a lower PPV than ours for hepatitis C (88.0 % versus 94.3 %) and hepatitis B (81.3 % versus 98.4 %). Our EMR definitions for comorbidities such as hypertension, diabetes, chronic obstructive pulmonary disease, and depression also had higher validity than another study that used Canadian primary healthcare EMRs [9]. In addition, the EMR definition for acquired immune deficiency syndrome (AIDS) also reported very high validity, similar to the findings of another study [29]. The high validity of our definitions is likely related to the high quality of physician documentation, as well as the structure of Chinese EMRs. In China, there are specific criteria/rules for physicians to follow when documenting clinical information using EMRs (e.g., one diagnosis field assigned for each diagnosis). The EMRs are also fully structured for reporting laboratory tests (i.e., serum level of albumin, creatinine, sodium and total bilirubin, and prothrombin time).

Our EMR definitions achieved PPV results similar to those of the eMERGE phenotype algorithms. Among different sites of eMERGE, PPV ranged from 98.2 to 100.0 % for diabetes, 84.0 to 100.0 % for hypertension, 73.0 to 84.0 % for dementia, and 92.0 to 96.0 % for chronic kidney disease [30]. An EMR definition of myocardial infarction using the United Kingdom's electronic clinical data [31] had a higher PPV than ours (85.3 % versus 66.7 %). Given the fact that PPV is prevalence sensitive, the lower PPV of the myocardial infarction definition in our study might be partially explained by the low prevalence (0.44 %) of this condition in our data set.

Notably, the validity of the developed EMR definitions varied by extracted diseases/treatments, with low sensitivity for cirrhosis (78.9 %), fluid and electrolyte disorders (65.4 %), valvular disease (66.7 %), metastatic solid tumor (72.7 %) and peptic ulcer disease (64.7 %). There are several possible explanations. First, the diagnoses of these conditions are complex and/or contain a wide range of sub-diagnoses, such as metastatic solid tumor and peptic ulcer disease, which requires the integration of clinical evidence and physician judgment. Second,

disease-related information is not always well documented in EMRs (e.g., a pathology report is only available for patient who underwent tissue biopsy or surgery). Third, some minor diseases, such as fluid and electrolyte disorders, have a relatively short recovery time, and physicians may not document the diagnosis during hospitalization.

Our results indicated that the validity of some EMR definitions only slightly improved after adding laboratory test results or prescription information. For example, after adding prescription information into the EMR definition for hepatitis B, which previously only contained diagnosis information, sensitivity improved slightly from 86.8 to 88.2 % and PPV improved from 97.8 to 98.4 %. We found similar results for hepatic encephalopathy and AIDS. This indicates that the diagnoses in EMRs may be sensitive enough for developing case definitions for conditions, such as hepatitis B, hepatic encephalopathy, and AIDS.

### Application of the developed extraction method

The developed EMR definition consists of three components: Chinese language search terms, targeted fields to search, and the logical connection of the extracted information. The search terms can be used directly in other EMRs, given that we developed them based on the physician documentation style used for a specific disease. Although the specific details of EMR systems vary across hospitals in China, the high-level structure and data fields used in this study remain similar under national EMR standards [6]. Chinese EMR systems contain all necessary and essential sections or fields facilitating the application of our extraction method. The third component was developed based on the clinical practice pathway or diagnostic guidelines for each disease. However, our extracting method should be modified to fit unique Chinese EMR systems. For extracting variables not included in this study, the framework for constructing the extraction method includes: 1) determining the search fields; in most scenarios, EMRs include diagnoses in admission or discharge records, conclusive sentences in pathology or imaging reports, and corresponding laboratory test results; 2) developing keywords for searching the fields that are specific to the disease, based on the clinical expertise and documentation styles used by physicians; and 3) combining the search results based on the specific diagnostic guidelines.

Our extraction method utilized the free-text within the Chinese EMR, which contains rich clinical information and accounts for a large part of the EMR. In existing EMR extraction methods, NLP is commonly used to work with free text. We did not use NLP because well-established and widely validated NLP for dealing with various Chinese EMRs is currently not available. Instead,

our extracting method searched for documented keywords. This technique was practical and feasible because not only do certain sections of the Chinese EMR with free text data entries consist of one conclusive sentence or several words, our methods also searched a small number of the most relevant free-text entries (diagnoses and conclusive sentences). Generally, in Chinese EMRs, these free-text entry fields are generic in terms of what diagnosis or other information can be entered, therefore the variation in how these fields are recorded by physicians is limited, as physicians are required to follow standardized criteria when inputting diagnoses or conclusive sentences in these fields. This enhances the potential of our methodology to be applied to other Chinese EMRs.

EMRs serve as a tool to facilitate medical documentation in the clinical setting, and also provide rich data to contribute to evidence-based medicine. The new methodology proposed in this study for extracting information from the Chinese EMRs could transfer rich EMR data into a ready-for-analysis format, and provide timely information for prognosis prediction, risk adjustment, and quality assessment. Our methods will enable and leverage clinical and epidemiological applied studies to use EMR data for generating knowledge for evidence-based medical practice.

### Challenges and limitations

We faced challenges common to the development of all EMR data extraction methods. This included how to best handle the combination of information derived from different parts of the EMR, and the temporality of information, for instance blood test results, missing values, and free text components.

Our study has several limitations. First, we used an EMR physician review as our gold standard, although the validity of reviewed EMRs is unknown. However, it is widely agreed upon that performing a medical record review is an adequate standard for use in validation studies [32]. This may be especially true for Chinese hospitals in which EMRs are routinely audited by medical record departments. The quality of the documentation is considered an indicator of physician performance in monthly evaluations. Second, aside from the reported 40 EMR definitions, we developed an additional 10 EMR definitions for other liver diseases and comorbidities (i.e., intrahepatic cholangiocarcinoma, pulmonary circulation disorders, paralysis, lymphoma, coagulopathy, psychoses, congestive heart failure, peripheral vascular disease, weight loss and dementia) (see Additional file 1: Table S1). However, we were unable to assess the validity of these conditions due to low prevalence. Third, we developed and validated EMR definitions in one hospital that specializes in diagnosing and treating liver disease

and therefore the statistics of validity were influenced by disease prevalence in our sample. To apply our methods, users should modify our data extraction methodology to fit their EMR systems and further validate this in their EMRs.

### Conclusion

The developed EMR definitions presented in this study had high validity. Our method should be modified for application to other Chinese EMR systems, following our framework for extracting conditions. Our method contributes to current efforts that attempt to make use of the robust clinical data sources in China to leverage outcome researches. Future research should focus on testing our extraction method in other EMR data and modifying it for use with other conditions. This will in turn provide informative, timely and interoperable clinical data for researchers and policy makers.

### Additional file

**Additional file 1: Table S1.** EMR definitions for liver diseases, disease severity conditions, comorbidities and treatments. **Table S2.** Validity results of the 29 EMR definitions for comorbidities and treatments. (DOC 251 kb)

### Abbreviations

5vMELD, five-variable MELD; AIDS, acquired immune deficiency syndrome; CCI, Charlson comorbidity index; CPT, current procedural terminology; ECI, Elixhauser comorbidity index; eMERGE, electronic medical records and genomics; EMR, electronic medical record; HCC, hepatocellular carcinoma; ICD-9-CM, International classification of disease – 9th version - clinical modification; MELD, model for end-stage liver disease; MELD-Na, MELD-Sodium; NLP, natural language processing; NPV, negative predictive value; PLC, primary liver cancer; PPV, positive predictive value; SQL, structured query language

### Acknowledgements

The authors thank Dr. Guanmin Chen from Alberta Health Service (AHS) and Libin Cardiovascular Institute of Alberta for the support on data mining and analysis. The authors also thank Qing Yang from Data Management Department of Beijing YouAn Hospital for his technical support on data extraction.

### Funding

Dr. Xu was supported by the Ward of the 21st Century (W21C) and Western Regional Training Centre (WRTC). Dr. Quan is supported by Alberta Innovation-Health Solution. Dr. Lu is supported by Alberta's Strategy for Patient-Oriented Research Support.

### Availability of data and materials

The dataset supporting the conclusions of this article are included within the article and its additional file. The algorithms of the EMR definitions are available at: <https://thethodshub.com/toolbox/downloads>.

### Authors' contributions

YX contributed to study design conceptualization, structuring the EMR definitions, data collection, data mining, statistical analysis, and drafting the manuscript. NL contributed to study design conceptualization, developing and refining the EMR definitions, data collection, results interpretation, and revision of the manuscript. HQ contributed to study design conceptualization, statistical analysis, interpretation and presentation of the results, and revision of the manuscript. ML contributed to statistical analysis, results interpretation, and revision of the manuscript. ED contributed to developing and refining the EMR definitions, results interpretation, and revision of the manuscript. RM contributed to study design conceptualization, developing and refining the EMR definitions, results interpretation, and revision of the manuscript. RW contributed to statistical

analysis, results interpretation, and revision of the manuscript. LS contributed to data collection through medical chart review, results interpretation, and revision of the manuscript. XZ contributed to data collection through medical chart review, results interpretation, and revision of the manuscript. All authors have read and approved the final version of the manuscript.

#### Competing interest

The authors declare that they have no competing interests.

#### Consent for publication

Not applicable.

#### Ethics approval and consent to participate

This study was approved by the YouAn Hospital Research Board of Ethics and the Health Research Ethics Board at University of Calgary (Ethics committee's reference number: REB14-0815). All these patients included in this study signed consents for research use of their EMRs.

#### Author details

<sup>1</sup>Beijing YouAn Hospital, Capital Medical University, 8 Xitoutiao Fengtai, Beijing 100069, China. <sup>2</sup>Department of Community Health Sciences, University of Calgary, Calgary, Alberta, Canada. <sup>3</sup>Department of Economics, University of Calgary, Calgary, Alberta, Canada. <sup>4</sup>Liver Unit, Division of Gastroenterology and Hepatology, Department of Medicine, University of Calgary, Calgary, Alberta, Canada. <sup>5</sup>Division of General Surgery, Faculty of Medicine, University of Calgary, Calgary, Alberta, Canada.

Received: 24 February 2016 Accepted: 5 August 2016

Published online: 20 August 2016

#### References

- Liu D, Wang X, Pan F, Yang P, Xu Y, Tang X, Hu J, Rao K. Harmonization of health data at national level: a pilot study in China. *Int J Med Inform.* 2010;79(6):450–8.
- Huang H, Hu XF, Zhao FH, Garland SM, Bhatla N, Qiao YL. Estimation of Cancer Burden Attributable to Infection in Asia. *J Epidemiol.* 2015; 25(10):626–38.
- Liang X, Bi S, Yang W, Wang L, Cui G, Cui F, Zhang Y, Liu J, Gong X, Chen Y, et al. Epidemiological serosurvey of hepatitis B in China—declining HBV prevalence due to hepatitis B vaccination. *Vaccine.* 2009;27(47):6550–7.
- Chen JG, Zhang SW. Liver cancer epidemic in China: past, present and future. *Semin Cancer Biol.* 2011;21(1):59–69.
- Lei J, Sockolow P, Guan P, Meng Q, Zhang J. A comparison of electronic health records at two major Peking University Hospitals in China to United States meaningful use objectives. *BMC Med Inform Decis Mak.* 2013;13:96.
- Shu T, Liu H, Goss FR, Yang W, Zhou L, Bates DW, Liang M. EHR adoption across China's tertiary hospitals: a cross-sectional observational study. *Int J Med Inform.* 2014;83(2):113–21.
- Xu Y, Wang Y, Liu T, Liu J, Fan Y, Qian Y, Tsujii J, Chang EI. Joint segmentation and named entity recognition using dual decomposition in Chinese discharge summaries. *J Am Med Inform Assoc.* 2014;21(e1):e84–92.
- Sada Y, Hou J, Richardson P, El-Serag H, Davila J. Validation of Case Finding Algorithms for Hepatocellular Cancer From Administrative Data and Electronic Health Records Using Natural Language Processing. *Med Care.* 2016;54(2):e9–e14.
- Williamson T, Green ME, Birtwhistle R, Khan S, Garies S, Wong ST, Natarajan N, Manca D, Drummond N. Validating the 8 CPCSSN case definitions for chronic disease surveillance in a primary care database of electronic health records. *Ann Fam Med.* 2014;12(4):367–72.
- Tian Z, Sun S, Eguale T, Rochefort CM. Automated Extraction of VTE Events From Narrative Radiology Reports in Electronic Health Records: A Validation Study. *Medical care.* 2015. doi:10.1097/MLR.0000000000000346.
- Newton KM, Peissig PL, Kho AN, Bielinski SJ, Berg RL, Choudhary V, Basford M, Chute CG, Kullo IJ, Li R, et al. Validation of electronic medical record-based phenotyping algorithms: results and lessons learned from the eMERGE network. *J Am Med Inform Assoc.* 2013;20(e1):e147–54.
- McCarty CA, Chisholm RL, Chute CG, Kullo IJ, Jarvik GP, Larson EB, Li R, Masys DR, Ritchie MD, Roden DM, et al. The eMERGE Network: a consortium of biorepositories linked to electronic medical records data for conducting genomic studies. *BMC Med Genomics.* 2011;4:13.
- Gottesman O, Kuivaniemi H, Tromp G, Faucett WA, Li R, Manolio TA, Sanderson SC, Kannry J, Zinberg R, Basford MA, et al. The Electronic Medical Records and Genomics (eMERGE) Network: past, present, and future. *Genet Med.* 2013;15(10):761–71.
- Wang H, Zhang W, Zeng Q, Li Z, Feng K, Liu L. Extracting important information from Chinese Operation Notes with natural language processing methods. *J Biomed Inform.* 2014;48:130–6.
- Jiang M, Chen Y, Liu M, Rosenbloom ST, Mani S, Denny JC, Xu H. A study of machine-learning-based approaches to extract clinical entities and their assertions from discharge summaries. *J Am Med Inform Assoc.* 2011;18(5):601–6.
- Liu B, Zhou X, Wang Y, Hu J, He L, Zhang R, Chen S, Guo Y. Data processing and analysis in real-world traditional Chinese medicine clinical data: challenges and approaches. *Stat Med.* 2012;31(7):653–60.
- Asian Pacific Association for the Study of the Liver Hepatitis CWP, McCaughan GW, Omata M, Amarapurkar D, Bowden S, Chow WC, Chutaputti A, Dore G, Gane E, Guan R, et al. Asian Pacific Association for the Study of the Liver consensus statements on the diagnosis, management and treatment of hepatitis C virus infection. *J Gastroenterol Hepatol.* 2007;22(5):615–33.
- Chinese Society of H, Chinese Society of Infectious Diseases CMA. The guideline of prevention and treatment for chronic hepatitis B (2010 version). *Zhonghua Gan Zang Bing Za Zhi.* 2011;19(1):13–24.
- Omata M, Lesmana LA, Tateishi R, Chen PJ, Lin SM, Yoshida H, Kudo M, Lee JM, Choi BI, Poon RT, et al. Asian Pacific Association for the Study of the Liver consensus recommendations on hepatocellular carcinoma. *Hepatol Int.* 2010;4(2):439–74.
- Shiha G, Sarin SK, Ibrahim AE, Omata M, Kumar A, Lesmana LA, Leung N, Tozun N, Hamid S, Jafri W, et al. Liver fibrosis: consensus recommendations of the Asian Pacific Association for the Study of the Liver (APASL). *Hepatol Int.* 2009;3(2):323–33.
- Liaw YF, Kao JH, Piratvisuth T, Chan HL, Chien RN, Liu CJ, Gane E, Locarnini S, Lim SG, Han KH, et al. Asian-Pacific consensus statement on the management of chronic hepatitis B: a 2012 update. *Hepatol Int.* 2012;6(3):531–61.
- Pugh RN, Murray-Lyon IM, Dawson JL, Pietroni MC, Williams R. Transection of the oesophagus for bleeding oesophageal varices. *Br J Surg.* 1973;60(8):646–9.
- Kamath PS, Kim WR, Advanced Liver Disease Study G. The model for end-stage liver disease (MELD). *Hepatology.* 2007;45(3):797–805.
- Myers RP, Shaheen AA, Aspinall AI, Quinn RR, Burak KW. Gender, renal function, and outcomes on the liver transplant waiting list: assessment of revised MELD including estimated glomerular filtration rate. *J Hepatol.* 2011;54(3):462–70.
- Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chronic Dis.* 1987;40(5):373–83.
- Elixhauser A, Steiner C, Harris DR, Coffey RM. Comorbidity measures for use with administrative data. *Med Care.* 1998;36(1):8–27.
- Myers RP, Quan H, Hubbard JN, Shaheen AA, Kaplan GG. Predicting in-hospital mortality in patients with cirrhosis: results differ across risk adjustment methods. *Hepatology.* 2009;49(2):568–77.
- Niu B, Forde KA, Goldberg DS. Coding algorithms for identifying patients with cirrhosis and hepatitis B or C virus using administrative data. *Pharmacoepidemiol Drug Saf.* 2015;24(1):107–11.
- Antoniou T, Zagorski B, Loutfy MR, Strike C, Glazier RH. Validation of case-finding algorithms derived from administrative data for identifying adults living with human immunodeficiency virus infection. *PLoS One.* 2011;6(6):e21748.
- Nadkarni GN, Gottesman O, Linneman JG, Chase H, Berg RL, Farouk S, Nadukuru R, Lotay V, Ellis S, Hripcsak G, et al. Development and validation of an electronic phenotyping algorithm for chronic kidney disease. *Proc AMIA Symp.* 2014;2014:907–16.
- Soriano JB, Maier WC, Visick G, Pride NB. Validation of general practitioner-diagnosed COPD in the UK General Practice Research Database. *Eur J Epidemiol.* 2001;17(12):1075–80.
- Quan H, Li B, Couris CM, Fushimi K, Graham P, Hider P, Januel JM, Sundararajan V. Updating and validating the Charlson comorbidity index and score for risk adjustment in hospital discharge abstracts using data from 6 countries. *Am J Epidemiol.* 2011;173(6):676–82.